



Commandant  
United States Coast Guard

2100 Second Street S.W.  
Washington, DC 20593-0001  
Staff Symbol: G-LMI  
Phone: (202) 267-1527

COMDTNOTE 5740  
JUNE 14 2001

CANCELLED: JUN 13 2001

COMMANDANT NOTICE 5740

Subj: MEMORANDUM OF UNDERSTANDING WITH THE DOT INSPECTOR GENERAL FOR  
THE CONDUCT OF INVESTIGATIONS

Ref: (a) DOT Order 8000.8 (NOTAL)

1. PURPOSE. This Notice forwards as Enclosure (1) the Memorandum of Understanding (MOU) entered into by the Commandant and the Department of Transportation Inspector General (DOT-IG) regarding the coordination and conduct of investigations where both the Coast Guard and the DOT-IG have an interest and/or involvement.
2. ACTION. Area and district commanders, commanders of maintenance and logistics commands, commanding officers, assistant commandants for directorates, Chief Counsel and special staff offices at Headquarters, and Director, Coast Guard Investigative Service, shall ensure that units and persons under their command are familiar with and comply with the instructions contained in the enclosed MOU.
3. DIRECTIVES AFFECTED. Enclosure (1) shall be included in the next change to the Marine Safety Manual, Volume X (Interagency Agreements and Acronyms), COMDTINST M16000.15(Series), and the Investigations Manual, COMDTINST M5527.1(Series). No paper distribution will be made of this Notice. Official distribution will be via the Coast Guard Directives System CD-ROM and the Department of Transportation website <http://isddc.dot.gov/>. An electronic version will also be available via the Commandant (G-L) website.
4. BACKGROUND. Section 228 of Public Law 106-159 clarified the investigative authority of the DOT-IG. In response to this change in the law, the Secretary of Transportation issued reference (a), which makes the DOT-IG the exclusive investigative authority for all DOT modes except the Coast Guard. Because of the expansion in DOT-IG responsibilities described in reference (a), and the broad

DISTRIBUTION – SDL No. 139

	a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
A																										
B		8	5		5	3	2	2	2	2	2	5	1	1	1	3	1		3	2	1	2	2	1	1	
C				1	3	1	1				2	2	4	1		1				5				2		
D	2	2		2	1						1	2														
E	1												2	3					1			1				
F																										
G																										
H																										

NON-STANDARD DISTRIBUTION:

June 14, 2001

investigative jurisdiction of both the Coast Guard and the DOT-IG, reference (a) also requires the promulgation of the enclosed MOU.

5. DISCUSSION. Because the investigative jurisdiction of both the Coast Guard and the DOT-IG is so broad, significant jurisdictional overlap exists between the agencies, particularly in such mission areas as environmental crimes, HAZMAT investigations, and criminal marine casualty investigations. Enclosure (1) emphasizes coordination and cooperation in the conduct of investigations of joint interest, so that efficiency is maximized and duplication of effort is minimized. The MOU also identifies the lead investigative and referral agencies for different types of investigations, and provides contact points for both the Coast Guard and the DOT-IG to facilitate communications.
6. FORMS/REPORTS. None.

Encl: (1) Memorandum of Understanding Between the United States Coast Guard and the Department of Transportation Inspector General

**MEMORANDUM OF UNDERSTANDING  
BETWEEN THE  
UNITED STATES COAST GUARD  
AND THE  
DEPARTMENT OF TRANSPORTATION INSPECTOR GENERAL**

**I. PARTIES**

The parties to this Memorandum of Understanding (MOU) are the United States Coast Guard (USCG) and the Office of Inspector General (hereafter OIG) of the United States Department of Transportation (hereafter DOT).

**II. PURPOSE**

The purpose of this MOU is to address the roles and relationship between the USCG and the OIG for the conduct of investigations which, for the OIG, would come under the cognizance of the Assistant Inspector General for Investigations.

**III. AUTHORITY**

a. Agreements between the USCG and the OIG are entered into under the authority of 14 U.S.C. § 141. DOT Order 8000.8, paragraph 8 mandates promulgation of this MOU.

b. The USCG derives its authority to perform the responsibilities contemplated in this agreement from the Uniform Code of Military Justice (10 U.S.C. §§ 801 *et seq.*) 14 U.S.C. §§ 2, 89, 93, 95, and 46 U.S.C. Chapter 63, among others.

c. The OIG derives authority to perform the responsibilities contemplated in this agreement from the Inspector General Act of 1978, Pub.L. No. 95-452, (5 U.S.C. App. 3), the Motor Carrier Safety Improvement Act of 1999, Pub.L. No. 106-159, 113 Stat. 1748, 1773 (Dec. 9, 1999), 10 U.S.C. § 1034, and 10 U.S.C. § 113, note, Pub.L. No. 103-160, 107 Stat. 1774 (November 30, 1993).

**IV. COORDINATION AND COOPERATION**

The USCG and the OIG shall implement the provisions of this MOU using their best efforts, in a spirit of cooperation, recognizing that maximum communication and coordination are essential for success. Such coordination is critical in those areas where investigative jurisdiction may overlap to ensure that a coordinated DOT

effort is made to address any instances of wrongdoing. Where combined resources can create efficiencies in the investigative process, these combined resources should be used whenever appropriate.

## V. BACKGROUND AND PURPOSE

a. The USCG is the nation's primary law enforcer in the maritime arena. It enforces or assists in the enforcement of all applicable U.S. laws on, over, and under the high seas and waters and vessels subject to U.S. jurisdiction. Under the above-referenced authority, the USCG has regulatory, investigative, and enforcement responsibility in the following mission areas: counter-narcotics, illegal immigration, living marine resources (including fisheries), environmental crimes (including waterborne shipment of hazardous materials), recreational boating safety enforcement (including boating while intoxicated), enforcement of marine safety and navigation laws (including vessel documentation and merchant mariner licensing), firearms laws, and, to a lesser extent, investigation and enforcement of "common law" Federal crimes such as murder, assault, and rape.

b. USCG investigative authority includes all necessary authority to conduct inquiries and examinations leading to criminal, civil, and administrative actions. To carry out these missions, the USCG may request assistance from any Federal or State agency having capabilities useful to the Coast Guard. Further, Federal or State agencies may request Coast Guard investigative or enforcement assistance when needed.

c. The OIG is responsible for conducting, supervising, coordinating, and providing policy guidance for the conduct of criminal, civil, and administrative investigations relating to the programs and operations of DOT, as prescribed in section 4(a)(1) of the Inspector General Act of 1978 ("the Act"). Pursuant to section 3(a) of the Act, the Inspector General reports to, and is under the general supervision of, the Secretary and the Deputy Secretary, but shall not report to or be subject to supervision by any other officer of the Department. Section 3(a) of the Act further provides that neither the Secretary nor the Deputy Secretary shall prevent or prohibit the Inspector General from initiating, carrying out, or completing any audit or investigation.

d. Section 4 of the Act provides additional reporting requirements of the Inspector General. Section 4(a)(5) requires that the Inspector General keep the head of the establishment and the Congress fully and currently informed concerning fraud and other serious problems relating to the administration of the Department's programs. Section 4(d) of the Act requires that the Inspector General report expeditiously to the Attorney General whenever the Inspector General has reasonable grounds to believe there has been a violation of criminal law.

e. The Act also transferred numerous investigative and audit functions of DOT modal administrations to the OIG. In general, the investigative duties transferred involve waste, fraud, mismanagement, or abuse in any of DOT's program activities or operations. Further, the OIG may investigate fraud against DOT modal administrations and personnel committed by persons not receiving Federal funds.

f. Given the broad investigative authority and jurisdiction of both the USCG and the OIG, which may overlap to some extent, this memorandum of understanding clarifies the distinct investigatory roles of the parties, and provides guidance for cooperative investigations under the authority of 14 U.S.C. § 141 and §§ 6(a)(1), 6(a)(3), and 6(b)(1) of the Act.

## VI. DEFINITIONS

For the purposes of this MOU:

a. "External field investigation" means an investigation which has as its subjects persons other than employees or military personnel of DOT, and which is undertaken in fulfillment of specific mission area responsibilities. In the case of the OIG, an external field investigation may also be an investigation conducted on behalf of another agency.

b. "Internal investigation" means an investigation that has as one of its subjects an employee or any military personnel of DOT.

c. "Military personnel" means members of the USCG subject to the Uniform Code of Military Justice.

## VII. COORDINATION OF USCG EXTERNAL FIELD INVESTIGATIONS

a. Since the USCG has statutory responsibility to conduct the investigations described in paragraph V-a above, the Coast Guard shall assume the lead role in coordinating investigative functions in support of its mission area responsibilities. The USCG may request assistance from the OIG pursuant to 14 U.S.C. § 141 in the conduct of its investigations if OIG expertise in a particular area would benefit the investigation, or if their investigatory resources are needed to supplement available USCG resources. In such cases, the USCG will retain the lead on the investigation.

b. In the event the OIG receives initial information from any source outside the USCG concerning allegations of wrongful conduct in areas of USCG

mission area responsibilities, the OIG will forward the information to the appropriate operational commander, as follows:

(1) To the cognizant USCG Captain of the Port (COTP) (see Appendix A) if the information concerns allegations of wrongful conduct in the area of marine safety and navigation laws (including documentation laws and mariner licensing) or environmental crimes.

(2) To the cognizant USCG District Law Enforcement Office (Appendix B), if the information concerns allegations of wrongful conduct in the area of counter-narcotics, illegal immigration, living marine resources, recreational boating safety enforcement, firearms law, or “common law” Federal crimes within the special maritime and territorial jurisdiction of the United States.

(3) Whenever possible, OIG referrals to the USCG shall be coordinated with the cognizant CGIS regional office (Appendix C). Should the COTP, District Office, or any subordinate field units desire further criminal investigative assistance from the OIG, this shall be coordinated through CGIS.

(4) If the OIG, after making the initial contact required in paragraphs (1) and (2) above, desires to remain involved in the investigation, the operational commander and CGIS shall work with the OIG to coordinate their continued participation.

(5) If during the course of a USCG external investigation, allegations of false official statements to Coast Guard investigative personnel arise, the USCG will retain the lead in investigating such allegations. However, use of OIG investigative expertise in this area should be considered.

c. This section does not limit audits or investigations conducted by OIG involving its oversight responsibilities for USCG personnel, policies, or procedures.

d. If the Department of Justice or a multi-agency task force asks the OIG to conduct an external field investigation in a USCG mission area, as described in paragraph V-a above, the OIG shall promptly notify the USCG in accordance with the procedures established in paragraph VII-b, so that the activities of the two parties may be appropriately coordinated. If the USCG has already initiated an investigation of the matter, prior to being advised of proposed involvement by the OIG, the USCG shall retain the responsibility for conducting the investigation. The OIG shall cooperate with the USCG in the conduct of the investigation in a manner that is mutually agreed upon by the parties. The OIG shall notify DOJ or the task force that the USCG has already initiated an investigation.

## VIII. COORDINATION OF OIG EXTERNAL FIELD INVESTIGATIONS

a. The OIG shall assume the lead role in coordinating investigative functions in support of its investigative responsibilities described in paragraph V-c above. The OIG may request assistance from the USCG pursuant to 14 U.S.C. § 141 in the conduct of its investigations if USCG expertise in a particular area would benefit the investigation, or if USCG investigatory resources are needed to supplement available OIG resources. If the OIG chooses, it will retain the lead on the investigation. It may also refer a matter of direct interest to the USCG as provided in paragraph IX-b.

b. In the event the USCG receives initial information from any source outside the OIG concerning allegations of wrongful conduct in areas of OIG investigative responsibilities, the USCG will forward the information to the cognizant OIG Regional Office (Appendix D). The USCG shall refer to the OIG for evaluation or investigation all allegations of suspected violations that constitute fraud, waste, mismanagement, or abuse relating to the programs and operations of the USCG or the Department, except as noted in paragraph IX-b below. There is no need to refer to OIG incidents such as false official statements made in the course of a Coast Guard boarding or inspection, if such statements will be dealt with by the Coast Guard in the course of its own investigation. Examples of suspected violations generally within the range of activity that must be referred to the OIG for evaluation or investigation are listed below. These examples are illustrative as opposed to limiting.

(1) False or fraudulent claims, statements, or certifications by employees, contractors, borrowers, grantees or others in connection with DOT programs.

(2) False or fraudulent claims for payment involving goods and services not delivered or involving the delivery of nonconforming goods.

(3) Unlawful manipulation of the competitive bidding process.

(4) Unauthorized concealment, removal, obliteration, alteration or destruction of official documents.

(5) Misappropriation or embezzlement of Government funds or conversion of Government property or Government-funded property.

(6) Bribery or corruption of Government employees or officials.

(7) Conflicts of interest, including violations of the Standards of Ethical Conduct.

c. In the event that an OIG external field investigation also involves areas of USCG mission area responsibilities, as described in paragraph V-a, the OIG and the USCG shall coordinate investigative functions on a case-by-case basis. The contact procedures described in paragraph VII-b shall be used.

#### IX. COORDINATION OF INTERNAL INVESTIGATIONS

a. The OIG shall lead internal investigations involving allegations of fraud, waste or abuse (including theft and corruption) committed by civilians or by Coast Guard civilian employees. Coast Guard units receiving such allegations shall forward that information to the cognizant OIG Regional Office.

b. Any incident or suspected incident of fraud, waste or abuse involving military personnel may be investigated and prosecuted by USCG, provided that only military personnel are involved in such crimes as principals or accessories, and any principal victims are subject to the UCMJ or otherwise subject to USCG jurisdiction. If the preceding conditions are not met, the USCG shall promptly refer such offenses to the OIG for investigation. The OIG may refer cases of direct interest to the USCG for investigation.

c. Any investigation conducted by the USCG pursuant to the above paragraph shall be reported to the OIG on a quarterly basis; however, the USCG shall promptly advise OIG when such matters involve military personnel at the grade of O-6 or higher. These reports shall be provided at the Headquarters level to the Deputy Assistant Inspector General for Investigations (JI-2) and shall include a summary of the case, including any action taken by the USCG.

d. DOT OIG Hotline. When the OIG refers a hotline complaint pertaining to USCG personnel or activities to the USCG: (1) those complaints pertaining to management or policy issues shall be directed to Commandant (G-CQM) for resolution; and (2) all other complaints shall be referred to CGIS, except those involving flag officers, which shall be referred to CGIS via the Coast Guard Chief of Staff, Commandant (G-CCS).

#### X. COORDINATION OF REFERRAL OF CRIMINAL CASES TO THE DEPARTMENT OF JUSTICE

a. In most cases where there is significant potential for criminal prosecution, early involvement of the Department of Justice (DOJ) and/or the cognizant U.S. Attorney's Office (USAO) is recommended to ensure timely,



effective and efficient use of investigative resources. However, the formal criminal referral process described in 33 CFR § 1.07-90 for the USCG and in 5 U.S.C. App. 3 § 4(d) for the OIG is an important part of the decision making process, designed to ensure that the policy interests of the USCG and the OIG are protected. DOJ and/or the USAO remain the ultimate decision maker on whether to prosecute a case. Should doubt exist, the parties should err in favor of referral to DOJ and/or the USAO.

b. For cases where either the USCG or OIG has the investigative lead, as determined by sections VII – IX above, that agency shall also have the lead in determining whether a referral should be made. In cases where the agency supporting the investigation (i.e., the agency without the lead) does not concur with the referral decision, the procedures in paragraph X-c shall be followed.

c. For cases where both the USCG and OIG are investigating concurrently (such as when OIG is investigating on behalf of RSPA in a hazardous materials transportation case) either the OIG or the USCG may make a referral. However, before such referral every effort should be made to communicate and coordinate the referral with the other agency. Consensus on the form of the referral should be reached whenever possible. If consensus cannot be reached, a full explanation of the different views of each agency should be included in the referral, to allow DOJ and/or the USAO to make an informed prosecutorial decision.

## **XI. POINTS OF CONTACT**

- a. Appendix A contains a listing of all USCG COTPs.
- b. Appendix B contains a listing of all USCG district law enforcement offices.
- c. Appendix C contains a listing of each CGIS SAC/RAC office.
- d. Appendix D contains a listing of each OIG regional office of investigations.

## **XII. OTHER PROVISIONS**

This MOU is not intended to limit the jurisdiction of the parties, or to conflict with current law or regulation or the directives of DOT, the USCG or the OIG. If a term of this MOU is inconsistent with such authority, then that term shall be invalid, but the remaining terms and conditions of this MOU shall remain in full force and effect.

XIII. EFFECTIVE DATE

This MOU shall become effective when approved and signed by both parties, and shall remain in effect indefinitely.

XIV. MODIFICATION

Either party may request modification of this MOU at any time, and the MOU may be modified upon mutual written consent of the parties. Should the parties be unable to agree on any requested modification within 30 days of the request, the USCG or the OIG shall request participation by the DOT Office of General Counsel and, if necessary, seek advice from DOJ to resolve the issue.

XV. APPROVED BY

---

Kenneth M. Mead, Inspector General  
Office of the Inspector General  
Department of Transportation

---

Admiral James M. Loy  
Commandant  
United States Coast Guard

---

Date

---

Date

## Appendix A

# COAST GUARD CAPTAINS OF THE PORTS

### First District

011-31-10-442-4458 COMMANDING OFFICER U.S. COAST GUARD MIO PSC 72, BOX 189 THE NETHERLANDS APO, AE 09709	(617) 223-3000 COMMANDING OFFICER MARINE SAFETY OFFICE 455 COMMERCIAL STREET BOSTON MA 02109-1045	(718) 354-4003 COMMANDER USCG ACTIVITIES NEW YORK 212 COAST GUARD DRIVE STATEN ISLAND, NY 10305
(401) 435-2300 COMMANDING OFFICER MARINE SAFETY OFFICE 20 RISHO AVENUE EAST PROVIDENCE RI 02914-1208	(508) 968-6556 SUPERVISOR MARINE SAFETY FIELD OFFICE OFFICE BUILDING 5216 AIR STATION CAPE COD, MA 02542	(508) 999-0072 SUPERVISOR MARINE SAFETY FIELD OFFICE 918 S. RODNEY FRENCH BOULEVARD NEW BEDFORD, MA 02744
(207) 780-3251 COMMANDING OFFICER MARINE SAFETY OFFICE 103 COMMERCIAL ST. PORTLAND ME 04101-4726	(603) 433-7324 SUPERVISOR MARINE SAFETY FIELD OFFICE NEW CASTLE, NH 03854-0600	(207) 469-2394 SUPERVISOR MARINE SAFETY FIELD OFFICE P.O. BOX 1909 BUCKSPORT, ME 04416
(203) 468-4400 COMMANDING OFFICER GROUP/MARINE SAFETY OFFICE 120 WOODWARD AVENUE NEW HAVEN CT 06512-3698	(631) 732-0569 SUPERVISOR MARINE SAFETY FIELD OFFICE 2045-5 ROUTE 112 CORAM, NY 11727	

### Fifth District

(757) 441-3302 COMMANDING OFFICER MARINE SAFETY OFFICE NORFOLK FEDERAL BUILDING 200 GRANBY STREET NORFOLK VA 23510-1888	(410) 576-2561 COMMANDER USCG ACTIVITIES BALTIMORE BLDG 70 2401 HAWKINS POINT ROAD BALTIMORE MD 21226-1791	(910) 772-2200 COMMANDING OFFICER MARINE SAFETY OFFICE 1502 23rd STREET WILMINGTON NC 28405
(215) 271-4800 COMMANDING OFFICER MARINE SAFETY OFFICE 1 WASHINGTON AVENUE PHILADELPHIA PA 19147-4395	(302) 644-1909 SUPERVISOR MARINE SAFETY DETACHMENT 802 PILOTTOWN ROAD LEWES DE 19958	

## Appendix A

### Seventh District

(305) 535-8705 COMMANDING OFFICER MARINE SAFETY OFFICE PO BOX 01-6940 MIAMI FL 33101-6940	(904) 232-2640 COMMANDING OFFICER MARINE SAFETY OFFICE SUITE 400 7820 ARLINGTON EXPRESSWAY JACKSONVILLE FL 32211-7445	(407) 868-4244 SUPERVISOR MARINE SAFETY DETACHMENT 9235 GROUPE ROAD PORT CANAVERAL FL 32920
(813) 228-2191 COMMANDING OFFICER MARINE SAFETY OFFICE 155 COLUMBIA DRIVE TAMPA FL 33606-3598	(912) 652-4353 COMMANDING OFFICER MARINE SAFETY OFFICE SUITE 1017 100 W OGLETHORPE AVENUE SAVANNAH GA 31401-3606	(843) 724-7683 COMMANDING OFFICER MARINE SAFETY OFFICE 196 TRADD STREET CHARLESTON SC 29401-1899
(787) 706-2400 COMMANDING OFFICER MARINE SAFETY OFFICER PO BOX 71526 SAN JUAN PR 00968	(340) 776-3497 SUPERVISOR USCG MARINE SAFETY DETACHMENT P.O. BOX 818 ST. THOMAS, VI 00804-0818	(340) 772-5557 RESIDENT RESIDENT INSPECTIONS OFFICE P.O. BOX 3026, DOWNTOWN STATION CHRISTIANSTED ST. CROIX, USVI 00822-3026

### Eighth District

(504) 589-6196 COMMANDING OFFICER MARINE SAFETY OFFICE 1615 POYDRAS STREET NEW ORLEANS LA 70112-1254	(225) 298-5400 SUPERVISOR USCG MARINE SAFETY DETACHMENT 6041 CRESTMOUNT DRIVE BATON ROUGE, LA 70809	(504) 380-5320 COMMANDING OFFICER MARINE SAFETY OFFICE 800 DAVID DRIVE, RM 232 MORGAN CITY LA 70380-1304
(504) 857-8507 SUPERVISOR USCG MARINE SAFETY DETACHMENT 425 LAFAYETTE ST, RM. 204 HOUMA, LA 70360-4805	(361) 888-3162 COMMANDING OFFICER MARINE SAFETY OFFICE 400 MANN, RM. 210 CORPUS CHRISTI, TX 78401-2046	(956) 546-2786 SUPERVISOR MARINE SAFETY SATELLITE OFFICE SUITE 301, 3505 BOCA CHICA BLVD. BROWNSVILLE TX 78521
(361) 552-7422 SUPERVISOR MARINE SAFETY SATELLITE OFFICE 225 N. VIRGINIA, SUITE 2A PORT LAVACA TX 77979	(713) 671-5100 COMMANDING OFFICER MARINE SAFETY OFFICE PO BOX 446 GALENA PARK TX 77547-0446	(409) 766-3609 COMMANDING OFFICER MARINE SAFETY UNIT POST OFFICE BLDG., ROOM 313 601 ROSENBERG GALVESTON TX 77550-1705
(334) 441-5286 COMMANDING OFFICER MARINE SAFETY OFFICE 150 N. ROYAL STREET MOBILE AL 36652-2924	(850) 233-0366 SUPERVISOR DETACHED DUTY OFFICE 1700 THOMAS DRIVE P.O. BOX 32043 PANAMA CITY FL 32407-8043	(409) 723-6509 COMMANDING OFFICER MARINE SAFETY OFFICE FEDERAL BLDG., 2875 JIMMY JOHNSON BLVD. PORT ARTHUR TX 77640-2099

## Appendix A

(318) 433-3765 SUPERVISOR MARINE SAFETY DETACHMENT PORT OF LAKE CHARLES, 150 MARINE STREET LAKE CHARLES, LA 70601-5612	(314) 539-3091 COMMANDING OFFICER MARINE SAFETY OFFICE 1222 SPRUCE STREET, SUITE 8.104E ST. LOUIS MO 63103-2835	(651) 290-3991 SUPERVISOR MARINE SAFETY DETACHMENT 180 E. KELLOGG BOULEVARD ST. PAUL MN 55101
(314) 539-3091 COMMANDING OFFICER MARINE SAFETY OFFICE 1222 SPRUCE STREET ST LOUIS MO 63103-2835	(304) 529-5524 COMMANDING OFFICER MARINE SAFETY OFFICE 1415 6 <sup>TH</sup> AVENUE HUNTINGTON WV 25701-2420	(513) 921-9033 SUPERVISOR USCG MARINE SAFETY DETACHMENT 3653 RIVER ROAD CINCINNATI, OH 45204-1094
(309) 694-7779 SUPERVISOR MARINE SAFETY DETACHMENT FOOT OF WASHINGTON STREET EAST PEORIA IL 61611	(309) 782-0627 SUPERVISOR MARINE SAFETY DETACHMENT ROCK ISLAND ARSENAL BUILDING 218 ROCK ISLAND IL 61299-7620	(304) 529-5524 MARINE SAFETY OFFICE 1415 6TH AVENUE HUNTINGTON WV 25701-2420
(502) 582-5194 COMMANDING OFFICER MARINE SAFETY OFFICE 600 MARTIN LUTHER KING, JR. PL, ROOM 360 LOUISVILLE KY 40202-2230	(513) 921-9033 SUPERVISOR MARINE SAFETY DETACHMENT 3653 RIVER ROAD CINCINNATI OH 45204-1094	(901) 544-3941 COMMANDING OFFICER MARINE SAFETY OFFICE 200 JEFFERSON AVENUE, SUITE 1301 MEMPHIS TN 38103-2300
(662) 332-0964 SUPERVISOR USCG MARINE SAFETY DETACHMENT 1801 HARBOR FRONT ROAD GREENVILLE, MS 38701-9586	(870) 338-7524 SUPERVISOR MARINE SAFETY DETACHMENT FEDERAL BUILDING, 617 WALNUT, ROOM 204 HELENA AR 72342-3231	(270) 442-1621 COMMANDING OFFICER MARINE SAFETY OFFICE 225 TULLY STREET PADUCAH KY 42003-1582
(615) 736-5421 SUPERVISOR USCG MARINE SAFETY DETACHMENT 220 GREAT CIRCLE RD, STE 148 NASHVILLE, TN 37228-1700	(412) 644-5808 COMMANDING OFFICER MARINE SAFETY OFFICE KOSSMAN BLDG., SUITE 1150 100 FORBES AVE. PITTSBURGH PA 15222-1371	

## Appendix A

### Ninth District

(716) 843-9570 COMMANDING OFFICER MARINE SAFETY OFFICE 1 FUHRMAN BLVD BUFFALO NY 14203-3189	(315) 764-3284 SUPERVISOR MARINE SAFETY DETACHMENT SLSDC BUILDING, P.O. BOX 728 180 ANDREWS MASSENA NY 13662-0728	(630) 986-2155 COMMANDING OFFICER MARINE SAFETY OFFICE SUITE D 215 W 83 <sup>RD</sup> STREET BURR RIDGE IL 60521-7059
(616) 850-2580 SUPERVISOR USCG MARINE SAFETY DETACHMENT 650 SOUTH HARBOR DRIVE GRAND HAVEN, MI 49417-1742	(216) 522-4405 COMMANDING OFFICER MARINE SAFETY OFFICE 1055 EAST 9TH STREET CLEVELAND OH 44114	(313) 568-9580 COMMANDING OFFICER MARINE SAFETY OFFICE 110 MT ELLIOT AVENUE DETROIT MI 48207-4380
(218) 720-5286 COMMANDING OFFICER MARINE SAFETY OFFICE 600 S LAKE AVENUE DULUTH MN 55802-2352	(414) 747-7155 COMMANDING OFFICER MARINE SAFETY OFFICE 2420 S. LINCOLN MEMORIAL DR. MILWAUKEE WI 53207-1997	(920) 743-9448 SUPERVISOR USCG MSD 57 N. 12 <sup>TH</sup> AVENUE P.O. BOX 446 STURGEON BAY, WI 54235-0446
(419) 259-6372 COMMANDING OFFICER MARINE SAFETY OFFICE ROOM 501 234 SUMMIT STREET TOLEDO OH 43604-1590	(906) 635-3220 COMMANDING OFFICER MARINE SAFETY OFFICE 337 WATER STREET SAULT STE MARIE MI 49783-9501	

### Eleventh District

(562) 980-4429 COMMANDING OFFICER MARINE SAFETY OFFICE 165 N. PICO AVENUE LONG BEACH CA 90802-1096	(805) 962-7430 SUPERVISOR USCG MARINE SAFETY DETACHMENT 111 HARBOR WAY SANTA BARBARA, CA 93109-2315	(619) 683-6500 COMMANDING OFFICER MARINE SAFETY OFFICE 2716 NORTH HARBOR DRIVE SAN DIEGO, CA 92101-1064
(510) 437-3135 COMMANDING OFFICER MARINE SAFETY OFFICE BUILDING 14 COAST GUARD ISLAND ALAMEDA CA 94501-5100	(925) 246-5890 SUPERVISOR MARINE SAFETY DETACHMENT BLDG. 188 NAVAL WEAPONS STA. 10 DELTA STREET CONCORD, CA 94520-5001	

## Appendix A

### Thirteenth District

(206) 217-6200 COMMANDING OFFICER MARINE SAFETY OFFICE 1519 ALASKAN WAY SOUTH, BLDG. 1 SEATTLE WA 98134-1192	(503) 240-9310 COMMANDING OFFICER MARINE SAFETY OFFICE 6767 N BASIN AVENUE PORTLAND OR 97217-3992
--	---

### Fourteenth District

(808) 522-8250 COMMANDING OFFICER MARINE SAFETY OFFICE 433 ALA MOANA BLVD, PIER 4 HONOLULU HI 96813-4909	(684) 633-2299 SUPERVISOR MARINE SAFETY DETACHMENT P.O. BOX 249 AMERICAN SAMOA 96799-0249	(671) 339-2001 COMMANDING OFFICER MARINE SAFETY OFFICE MARIANAS SECTION PSC 455, BOX 176 FPO AP 96540-1056
(670) 236-2969 SUPERVISOR MARINE SAFETY DETACHMENT EMERGENCY OPERATIONS CENTER CAPITOL HILL SAIPAN, MP 96950-5000	(011)-81-311-755-8405 COMMANDING OFFICER MARINE INSPECTION OFFICE UNIT 5073 APO AP 96328-5073	(011)-65-750-2340 SUPERVISOR MARINE INSPECTION DETACHMENT PSA SEMBAWANG TERMINAL BLDG. 7-4, STORES ROAD SINGAPORE 759819

### Seventeenth District

(907) 463-2457 COMMANDING OFFICER MARINE SAFETY OFFICE SUITE 2A 2760 SHERWOOD LANE JUNEAU AK 99801-8545	(907) 225-4496 SUPERVISOR MARINE SAFETY DETACHMENT 2030 SEALEVEL DRIVE, SUITE 203 KETCHIKAN AK 99901	(907) 966-5454 SUPERVISOR MARINE SAFETY DETACHMENT 329 HARBOR DRIVE, LLOYD CENTER ROOM 202 SITKA AK 99835-7554
(907) 271-6700 COMMANDING OFFICER MARINE SAFETY OFFICE SUITE 100 510 L STREET ANCHORAGE AK 99501-1946	(907) 486-5918 SUPERVISOR MARINE SAFETY DETACHMENT 326 CENTER AVENUE SUITE 107 KODIAK, AK 99615	(907) 581-3466 SUPERVISOR USCG MARINE SAFETY DETACHMENT P.O. BOX 920446 DUTCH HARBOR, AK 99692
(907) 283-3292 SUPERVISOR USCG MARINE SAFETY DETACHMENT 150 N. WILLOW STREET, #41 KENAI, AK 99611-7701	(907) 835-7205 COMMANDING OFFICER MARINE SAFETY OFFICE P.O. BOX 486 105 SOUTH CLIFTON VALDEZ AK 99686-0486	

## **COAST GUARD DISTRICT** **LAW ENFORCEMENT OFFICES**

<p>Commander (ole) First Coast Guard District Capt. John Foster Williams Bldg. 408 Atlantic Avenue Boston, MA 02110-3350 <i>Tel: (617) 223-8420</i></p> <p><i>Jurisdiction: ME, NH, VT, MA, RI, CT, NY</i></p>	<p>Commander (ole) Fifth Coast Guard District Federal Bldg. 431 Crawford Street Portsmouth, VA 23704-5004 <i>Tel: (757) 398-6266</i></p> <p><i>Jurisdiction: NC, VA, DC, MD, DE, &amp; parts of PA</i></p>
<p>Commander (ole) Seventh Coast Guard District 909 SE First Ave Brickell Plaza Federal Bldg. Miami, FL 33131-3050 <i>Tel: (305) 415-6770</i></p> <p><i>Jurisdiction: SC, GA, FL, PR, USVI</i></p>	<p>Commander (ole) Eighth Coast Guard District Hale Boggs Federal Building 501 Magazine Street New Orleans, LA 70130-3396 <i>Tel: (504) 589-6237</i></p> <p><i>Jurisdiction: ND, SD, WY, NE, IA, CO, KS, MO, KY, WV, TN, AR, OK, NM, TX, LA, MS, AL, &amp; parts of IL, PA, OH, IN, WI, MN, FL, GA</i></p>
<p>Commander (ole) Ninth Coast Guard District 1240 East 9th St Cleveland, OH 44199-2060 <i>Tel: (216) 902-6091</i></p> <p><i>Jurisdiction: MI &amp; parts of NY, PA, OH, IN, IL, MN, WI</i></p>	<p>Commander (ole) Eleventh Coast Guard District Coast Guard Island Alameda, CA 94501-5100 <i>Tel: (510) 437-3492</i></p> <p><i>Jurisdiction: AZ, UT, NV, CA</i></p>
<p>Commander (ole) Thirteenth Coast Guard District Jackson Federal Bldg. 915 Second Ave Seattle, WA 98174-1067 <i>Tel: (206) 220-7307</i></p> <p><i>Jurisdiction: WA, OR, ID, MT</i></p>	<p>Commander (ole) Fourteenth Coast Guard District Prince Kalanianaʻole Federal Bldg. 300 Ala Moana Blvd. , 9th Floor Honolulu, HI 96850-4982 <i>Tel: (808) 541-2300</i></p> <p><i>Jurisdiction: HI,, and the Pacific Islands belonging to the United States</i></p>
<p>Commander (ole) Seventeenth Coast Guard District P. O. Box 25517 Juneau, AK 99802-5517 <i>Tel: (907) 463-2283</i></p> <p><i>Jurisdiction: AK</i></p>	



## Appendix C

### **COAST GUARD INVESTIGATIVE SERVICE SAC/RAC OFFICES**

<p>DIRECTOR  COAST GUARD INVESTIGATIVE SERVICE  4200 WILSON BLVD SUITE 740  ARLINGTON VA 2203-1804  Phone: (202) 493-6600  Fax: (202) 493-6619</p>
--

<p>SPECIAL AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  HEADQUARTERS  4200 WILSON BLVD SUITE 740  ARLINGTON VA 22203-1804  Phone: (202) 493-6608  Fax: (202) 493-6619</p>	
<p>SPECIAL AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  427 COMMERCIAL ST  BLDG 8 6TH FLOOR  BOSTON MA 02109  Phone: (617) 557-9077  Fax: (617) 557-9097</p>	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  PO BOX A39, USCG ACADEMY  15 MOHEGAN AVE  NEW LONDON CT 06320  Phone: (860) 444-8210  Fax: (860) 444-8332</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  BATTERY PARK BLDG 3rd FLOOR  NEW YORK NY 10004  Phone: (212) 668-7048  Fax: (212) 668-7050</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  BLDG 5200  OTIS ANGB MA 02542  Phone: (508) 968-6604  Fax: (508) 968-6606</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  C/O USCG TRAINING CENTER  CAPE MAY NJ 08201-5002  Phone: (609) 898-6999  Fax: (609) 898-6358</p>

## Appendix C

<p>SPECIAL AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE FEDERAL BLDG 431 CRAWFORD ST RM 201 PORTSMOUTH VA 23704-5004 Phone: (757) 398-6262 Fax: (757) 398-6506</p>	<p>RESIDENT AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE C/O COAST GUARD YARD CURTIS BAY BLDG 70, 2401 HAWKINS POINT ROAD BALTIMORE MD 21226-1797 Phone: (410) 576-2555 Fax: (410) 576-2556</p>
	<p>RESIDENT AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE PO BOX 70201 1050 REGISTER ST CHARLESTON SC 29415 Phone: (843) 554-0983 Fax: (843) 554-1115</p>
	<p>RESIDENT AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE PO BOX 6511 YORKTOWN VA 23690-6511 Phone: (757) 856-2268 Fax: (757) 856-2080</p>
	<p>RESIDENT AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE 7323 TELEGRAPH RD ALEXANDRIA VA 22315 Phone: (703) 313-5777 Fax: (703) 313-5773</p>
<p>SPECIAL AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE 15612 SW 117TH AVE MIAMI FL 33177-1630 Phone: (305) 278-6842 Fax: (305) 278-6859</p>	<p>RESIDENT AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE C/O USCG BASE LA PUNTILLA FINAL 1 SAN JUAN PR 00901 Phone: (787) 729-4335 Fax: (787) 729-6699</p>
	<p>RESIDENT AGENT IN CHARGE COAST GUARD INVESTIGATIVE SERVICE C/O GROUP ST PETERSBURG 600 8<sup>TH</sup> AVE SE ST PETERSBURG FL 33701 Phone: (727) 893-3125 Fax: (727) 898-1923</p>

## Appendix C

	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  HIDTA ST THOMAS  PO BOX 309480-VDS  ST THOMAS USVI 00803-9480  Phone: (340) 693-2208</p>
<p>SPECIAL AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  HALE BOGGS FEDERAL BLDG  501 MAGAZINE ST  NEW ORLEANS LA 70130-3396  Phone: (504) 589-4951  Fax: (504) 589-6262</p>	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  RM 2122 FEDERAL BUILDING  2320 LA BRANCH  HOUSTON TX 77004  Phone: (713) 718-3760  Fax: (713) 718-3762</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  1222 SPRUCE ST  ST LOUIS MO 63103-2832  Phone: (314) 539-3900 Ext 295  Fax: (314) 539-3016</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  PO BOX 18399  CORPUS CHRISTI TX 78480  Phone: (361) 939-7415  Fax: (361) 937-5667</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  1110 MONTILMAR DR STE 285  MOBILE AL 36609  Phone: (334) 441-6124  Fax: (334) 441-6170</p>
<p>SPECIAL AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  1240 EAST 9TH STREET  CLEVELAND OH 44199-2060  Phone: (216) 902-6137  Fax: (216) 902-6143</p>	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  650 S HARBOR DRIVE  GRAND HAVEN MI 49503-3019  Phone: (616) 850-2575  Fax: (616) 850-2576</p>
<p>SPECIAL AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  JACKSON FEDERAL BLDG  915 SECOND AVE  SEATTLE WA 98174-1067  Phone: (206) 220-7300  Fax: (206) 220-7173</p>	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  C/O CG BASE BOX 195035  KODIAK AK 99619  Phone: (907) 487-5422  Fax: (907) 487-5460</p>

## Appendix C

	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  3003 BENNETT DR  BELLINGHAM WA 98225-1439  Phone: (360) 410-7037</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  6767 N BASIN AVE  PORTLAND OR 97217  Phone: (503) 240-9344  Fax: (503) 240-9377</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  223 EAST 4TH ST  PORT ANGELES WA 98362  Phone: (360) 417-2575  Fax: (360) 417-2522</p>
<p>SPECIAL AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  COAST GUARD ISLAND BLDG 18  ALAMEDA CA 94501-5100  Phone: (510) 437-3409  Fax: (510) 437-3411</p>	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  P. O. BOX 8 BLDG 40  TERMINAL ISLAND STATION  SAN PEDRO, CA 90731-5100  Phone: (310) 732-7341  Fax: (310) 732-7349</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  PRINCE KUHIO FEDERAL BLDG  300 ALA MOANA BLVD 9TH FLOOR  HONOLULU HI 96850-4982  Phone: (808) 541-2301  Fax: (808) 541-2314</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  USCG TRAINING CENTER PETALUMA  599 TOMALES RD  PETALUMA CA 94952-5000  Phone: (707) 765-7213  Fax: (707) 765-7165</p>
	<p>RESIDENT AGENT IN CHARGE  COAST GUARD INVESTIGATIVE SERVICE  2710 N HARBOR DRIVE  SAN DIEGO CA 92101  Phone: (619) 683-6555  Fax: (619) 683-6436</p>

**U.S. Department of Transportation**  
**Office of Inspector General – Investigations**  
(As of October 2000)

**Regional Points of Contact:**

Special Agent-in-Charge Region 1 (JRI-1)  
Office of Inspector General  
U.S. Department of Transportation  
400 7<sup>th</sup> Street, S.W., Room 7324  
Washington, D.C. 20590  
Voice: (202) 366-0681  
Fax: (202) 366-7749

Delaware	Maryland	Virginia
District of Columbia	Pennsylvania	West Virginia

**Special Agent-in-Charge Region 2 (JRI-2)**  
Office of Inspector General  
U.S. Department of Transportation  
26 Federal Plaza, Room 3134  
New York, NY 10278  
Voice: (212) 264-8700  
Fax: (212) 264-7310

Connecticut	Massachusetts	New Jersey	Rhode Island
Maine	New Hampshire	New York	Vermont

## Appendix D

### **Special Agent-in-Charge Region 4 (JRI-4)**

Office of Inspector General  
U.S. Department of Transportation  
61 Forsyth Street, S.W.  
Suite 17T60  
Atlanta, GA 30303-3104  
Voice: (404) 562-3850  
Fax: (404) 562-3855

Alabama	Louisiana	New Mexico	Puerto Rico	Texas
Florida	Mississippi	N. Carolina	S. Carolina	
Georgia	Missouri	Oklahoma	Tennessee	

### **Special Agent-in-Charge Region 5 (JRI-5)**

Office of Inspector General  
U.S. Department of Transportation  
111 N. Canal Street, Room 677  
Chicago, IL 60606  
Voice: (312) 353-0106  
Fax: (312) 353-7032

Colorado	Iowa	Michigan	North Dakota	Wisconsin
Illinois	Kansas	Minnesota	Ohio	
Indiana	Kentucky	Nebraska	South Dakota	

### **Special Agent-in-Charge Region 9 (JRI-9)**

Office of Inspector General  
U.S. Department of Transportation  
201 Mission Street, Suite 2310  
San Francisco, CA 94105  
Voice: (415) 744-3090  
Fax: (415) 744-2510

Alaska	California	Montana	Utah
Arizona	Hawaii	Nevada	Washington
Arkansas	Idaho	Oregon	Wyoming

## Appendix A